IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: James Farrell *et al.*

Appln. No.: 10/713,126

Filed: November 14, 2003

For: SYSTEM AND METHOD FOR CONTROLLING MARKETS DURING A STOP LOSS TRIGGER

Attorney Docket No.: 4672/310

Examiner: Weis, Samuel

Art Unit: 3695

Conf. No.: 9585

TRANSMITTAL

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is/are:

☒ Fourth Supplemental Information Disclosure Statement; Form PTO-1449 with required references.

Fee calculation:

☒ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of $_____ for a _____-month extension of time under 37 CFR § 1.136(a).

☐ A petition or processing fee in an amount of $___ under 37 CFR §___.

☐ An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity			Not a Small Entity	
					Rate	Add'l Fee	OR	Rate	Add'l Fee
Total		Minus			x $26=			x $52=	
Indep.		Minus			x 110=			x $220=	
First Presentation of Multiple Dep. Claim					+$195=			+ $390=	
					Total	$		Total	$

Fee payment:

☐ Please charge Deposit Account No. 23-1925 in the amount of $_____.

☐ Payment by credit card in the amount of $_____ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

October 8, 2010
Date

Ryan Gleitz (Reg. No. 62,164)